

<b>FORM PTO-1449 (Modified)</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> SA9-98-174-D/1215D	<b>SERIAL NO.</b> To Be Assigned
	<b>APPLICANT:</b> Gerard M. DEARING, et al.	
	<b>FILING DATE:</b> Herewith	<b>GROUP:</b> To Be Assigned

**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
EE	5,557,792	09-17-1996	Josten et al.	707	8	1/1995
EE	5,115,392	05-19-1992	Takamoto et al.	718	101	10/1987
EE	5,109,512	04-28-1992	Bahr et al.	718	103	5/1990

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

EE		Ryoji Kataoka, et al., "A Multiversion Concurrency Control Algorithm for Concurrent Execution of Partial Update and Bulk Retrieval Transactions", IEEE 1991, Japan, pp. 130-136
EE		IBM Technical Disclosure Bulletin, "Lock Management Architecture", October 1988, volume number 31, issue number 5, pp 125-128

EXAMINER	/Emeka Ebirim/	DATE CONSIDERED
		08/16/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.